

UTILITY PATENT APPLICATION TRANSMITTAL

Submit an original and a duplicate for fee processing
Only for new nonprovisional applications under 37 CFR §1.53(b)

Attorney Docket No. 213187

First Inventor KOVESDI, Imre

Title ADENOVECTOR PHARMACEUTICAL
COMPOSITION

Express Mail No. EF125999585US

APPLICATION ELEMENTS

1. ☒ Utility Patent Application Transmittal Form
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification (including claims and abstract)
[Total Pages 41]
4. ☒ Drawings [Total Sheets 4]
5. ☒ Combined Declaration and
Power of Attorney [Total Pages 4]
 - a. ☐ Unexecuted
 - b. ☒ Copy from prior application
[Note Box 6 below]
 - i. ☐ Deletion of Inventor(s) Signed statement
attached deleting inventor(s) named in the prior
application
6. ☒ Incorporation by Reference: The entire
disclosure of the prior application, from which
an oath or declaration is supplied under Box
5b is considered as part of the disclosure of
the accompanying application and is hereby
incorporated by reference.
7. ☒ Application Data Sheet. See 37 CFR 1.76
8. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
9. Nucleotide and/or Amino Acid Sequence
Submission
 - a. ☒ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☒ Paper Copy
 - c. ☒ Statement verifying identity of above
copies

ADDRESS
TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

ACCOMPANYING APPLICATION PARTS

10. ☐ Applicant requests early publication. (include
publication fee under 37 CFR 1.18(d))
11. ☐ Assignment Papers
(cover sheet and document(s))
12. ☐ 37 CFR 3.73(b) Statement (when there is an
Assignee)
13. ☐ Power of Attorney
14. ☐ English Translation Document (if applicable)
15. ☒ Information Disclosure Statement (IDS)
 - ☒ Form PTO-1449
 - ☒ Copies of Listed Documents
16. ☒ Preliminary Amendment
17. ☒ Return Receipt Postcard
(Should be specifically itemized)
18. ☐ Certified Copy of Priority Document(s)
19. ☐ Request & Certification Under 35 USC
122(b)(2)(B)(i) (Form PTO/SB/35 or its equivalent attached)
20. ☒ Other:
 - Amendments to Specification and Claims Made via
Preliminary Amendment.
 - Pending Claims After Entry of Preliminary
Amendment.
 - Submission of Formal Drawings.

21. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information in (a) and (b) below:


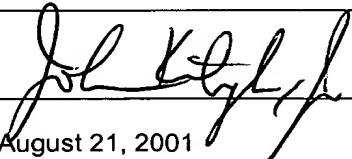
(a) ☒ Continuation ☐ Divisional ☐ Continuation-in-part of prior Application No. 08/258,416,
filed on June 10, 1994, which is incorporated by reference.
Prior application information: Examiner: Scott D. Priebe; Group Art Unit: 1632.

(b) Preliminary Amendment: Benefit of earlier filing date - 35 USC 120. The Commissioner is requested to
amend the specification by inserting the following sentence before the first line:
"This is a ☐ continuation ☐ divisional ☐ continuation-in-part (CIP) of
☐ Application No. , filed on , which is incorporated by reference."
☐ International Application No. , filed on , which designates the U.S., and which is
incorporated by reference."

UTILITY PATENT APPLICATION TRANSMITTAL				Attorney Docket No.	213187
APPLICATION FEES					
BASIC FEE					\$ 710.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	20 - 20 =	0	x \$18.00	\$ 0.00	
Independent Claims	1 - 3 =	0	x \$80.00	\$ 0.00	
<input type="checkbox"/> Multiple Dependent Claim if applicable				+\$270.00	\$ 0.00
Total of above calculations =					\$ 710.00
Reduction by 50% for filing by small entity =					(\$ 0.00)
<input type="checkbox"/> Assignment fee if applicable				+\$40.00	\$ 0.00
<input type="checkbox"/> Early publication fee if applicable				+\$300.00	\$ 0.00
TOTAL =					\$ 710.00

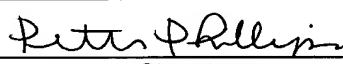
22. ☒ Please charge my Deposit Account No. 12-1216 in the amount of \$ 710.00.
23. ☐ A check in the amount of \$ is enclosed.
24. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 12-1216:
- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
25. ☒ The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 12-1216 for any fee that may be due in connection with such a request for an extension of time.

26. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number: 23460  23460 PATENT TRADEMARK OFFICE		<input type="checkbox"/> , Reg. No. Leydig, Voit & Mayer, Ltd. Two Prudential Plaza, Suite 4900 180 North Stetson Chicago, Illinois 60601-6780 (312) 616-5600 (telephone) (312) 616-5700 (facsimile)
Name	John Kilyk, Jr., Registration No. 30,763	
Signature		
Date	August 21, 2001	

Certificate of Mailing Under 37 CFR 1.10

I hereby certify that this Utility Patent Application Transmittal and all accompanying documents are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" Service under 37 CFR 1.10 on the date indicated below and is addressed to: Assistant Commissioner of Patents, Box Patent Application, Washington, D.C. 20231.

Peter Phillips		August 21, 2001
Name of Person Signing	Signature	Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kovesdi et al.

Group Art Unit: Unassigned

Continuation of Application No. 08/258,416
filed June 10, 1994

Examiner: Unassigned

Filing Date: August 21, 2001

For: ADENOVECTOR PHARMACEUTICAL
COMPOSITION

SUBMISSION OF FORMAL DRAWINGS

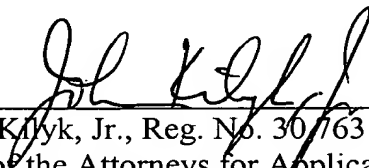
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicants enclose herewith three (3) sheets of formal drawings and request that the same be made of record in the above-identified patent application.

The Assistant Commissioner is hereby authorized to charge any fees that may be required to Account No. 12-1216. A duplicate copy of this communication is enclosed for that purpose.

Respectfully submitted,



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Date: August 21, 2001